

JC772 U.S. PTO

12/01/00

UTILITY APPLICATION TRANSMITTAL LETTER (Small Entity)**INVENTOR(S)**

Name	Residence
Joseph Lerner	2905 N. 22nd Street Arlington, Virginia 22201
Jerome Szalc	20565 Tidewater Court Sterling, Virginia 20165
Stewart Christ	1012 Van Buren Street Herndon, Virginia 22070
James Currier	2836 Severn Lane Bloomfield Hills, MI 48304
Carl Enroth	4781 Williamsburg Blvd. Arlington, Virginia 22070
John Roehling	3078 Mapleridge Court Rochester Hills, MI 48309
Serial Number: UNASSIGNED	Art Unit: UNASSIGNED
Filing Date: December 1, 2000	Examiner: UNASSIGNED

Title: Electronic Purchase and Sale of Securities System and Method**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

Transmitted herewith is a U.S. Utility Patent Application comprising:

19 pages of Specification including 12 Claims (2 independent, 10 dependent),
1 Page Abstract

11 Sheets of Drawings

Other

This application claims the benefit of U.S. Provisional Application No. 60/168,185, Filed December 1, 1999

Fees accompany application in the following amount and description

No fees accompany this application.

Applicant Claims the benefit of Small Entity Status

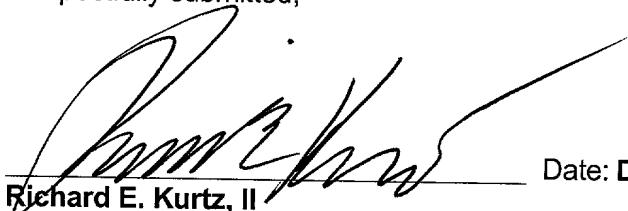
The Commissioner is hereby authorized to charge any additional fees associated with this communication under 37 C.F.R. § 1.16 and 1.17, or credit any overpayment to **Deposit Account No. 50-0653**.

The Commissioner is hereby authorized to charge Patent filing fees and processing fees to **Deposit Account No. 50-0653**

JC825 U.S. PTO
09/726793
12/01/00

PATENT Attorney Docket 28961.011300

Respectfully submitted,



Date: December 1, 2000

Richard E. Kurtz, II

Registration Number: 33,936 Customer Number: 22191

Greenberg & Traurig

1750 Tysons Boulevard, 12th Floor
McLean, Virginia 22102
703-749-1330

Docket No.: **28961.011300**

U.S. PATENT AND TRADEMARK OFFICE
SEARCHED _____ SERIALIZED _____ INDEXED _____
FILED _____